



भारतीय विज्ञान शिक्षा एवं अनुसंधान संस्थान भोपाल
Indian Institute of Science Education and Research Bhopal
निदेशक कार्यालय
Director's Office

IISERB/DIR/OO/2021/13
February 04, 2021

कार्यालय आदेश / Office Order

Sub: Adoption of Institute's Policy on Conflict of Interest.

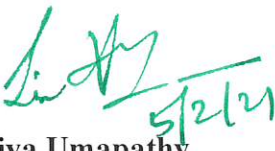
The approved policy document on Conflict of Interest is enclosed herewith for necessary compliance by all the concerned.

Accordingly, the following Ombudsman Committee is constituted for the calendar year 2021 and notified for necessary action by all concerned under the Conflict of Interest policy:

1.	Ombudsman (nominated on yearly basis)	Prof Sangit Kumar, Head, Dept. of Chemistry
2.	Dean, R&D	Ex- officio Member
3.	Dean, Academic Affairs	Ex- officio Member
4.	Two Nominees of Director (nominated on case to case basis)	Member (s)
5.	Research Integrity Officer	Ex- officio Non-Member Secretary

As per the point No. III of the enclosed policy document a declaration shall be signed by the individual and the entities, as the case may be, involved in the conduct of the affairs of the Institute.

This is for necessary information, record, and action by all concerned.


Siva Umapathy
Director

Encl.: As above

Copy to:

1. Deputy Director.
2. Registrar.
3. All Heads/Deans - with a request to ensure submission of the declaration by Regular/Short Term/Part Time/Project/Outsourced employees under the purview to AO/AR, DoFA as the case may be.
4. Webmaster for necessary updation on the website.



Indian Institute of Science Education and Research Bhopal

Policy on Conflict of Interest

December, 2020



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Indian Institute of Science Education and Research Bhopal

IISER Bhopal Policy on Conflict of Interest

I. Institute's statement on Conflict of Interest:

"Conflict of Interest" means the existence of conflict between the duties and responsibilities of any individual or entity involved in discharge of the Institute or public affairs, in which the Personal / private interest of such individual or entity could improperly influence the discharge of duties, responsibilities and functions, or result in breach of public trust, or be construed as private or commercial interest of any person or organization or entity.

A conflict of interest may exist even if it results into no violation or unethical or improper act from such an action. Hence, it would include all those matters, resulting into conflict of interest, which might not have been specified in any Act / Law / Regulations / Byelaws / Statutes / Rules / Manuals / Provisions / Practices etc, but found worth of probing under this policy of the Institute or any law or regulation that may be enforced in future.

II. The Ombudsman Committee:

In the case of violation of this policy, there shall be an Ombudsman Committee to probe into the matters of conflict of interest as specified below, constituted by Director, IISER Bhopal, every calendar year:

1.	One member to be nominated by the Director, IISER Bhopal on yearly basis.	Ombudsman
2.	Dean, R&D	Ex- officio Member
3.	Dean, Academic Affairs	Ex- officio Member
4.	Two Nominees of Director nominated on case to case basis.	Member (s)
5.	Research Integrity Officer	Ex- officio Non-Member Secretary

The Ombudsman Committee shall follow all the principles of natural justice and standard procedures of full-scale enquiry taking the model of CCS (CCA) Rules, 1965 and submit

the report to the Disciplinary Authority for further necessary acceptance or rejection of the recommendations for award, within a period of 90 days.

The Disciplinary Authority may award any Minor or Major penalties, based on the findings of the Ombudsman Committee on serving a show-cause notice on the proposed penalty, duly following all the standard procedures under the relevant Institute rules as applicable to the individual.

III. Declarations by the employee(s) of the Institute:

Declaration in the prescribed proforma be mandatorily furnished by the individuals and the entities involved in the conduct of affairs of the Institute. A few occasions are mentioned below:

- (i) At the time of first appointment / joining the Institute or on becoming a part of Institute affairs including by permanent or temporary employee(s), consultant(s), student(s), PDF's etc.
- (ii) Handing over and taking over of charge on a new position / department at the Institute.
- (iii) Being appointed / nominated as a member in any committee such as Admission committees, Selection Committees, Enquiry Committees, Confidential Committees, Statutory Committees at the Institute.
- (iv) Being appointed / nominated at any positions other than mentioned above as sought by the competent authority, wherever applicable.



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Declaration on ‘Conflict of Interest’
(Ref. to the Institute’s Policy Statement)

1.	Name of the individual or entity	
2.	Designation(s) / Position(s)	
3.	PF No. / any permanent ID No (s).	
4.	Department	
5.	Permanent Address	
6.	E-mail and Mobile No.	

I / We, certify that I / We shall

- abide by all the rules and practices of the Institute from time to time to avoid any personal or vested interests in conduct of Institute or public affairs from time to time.
- avoid any activity related to the conduct of the affairs of the Institute, resulting into conflict and compromise of institutional interest and directly or indirectly affecting the prospects of the Institute and pass on undue personal or professional benefits in tangible or intangible manner to own-self / family / relatives / friends or organizations or business and non-business entities in which the undersigned have personal and vested interests.
- refrain from accepting any such assignment / hospitality and bring it to the notice of the competent authority, where there is a possible conflict with the institutional interest and result into personal or professional benefits in direct or indirect manner.
- refrain from serving on the committees / forums / platforms / bodies etc, along with the family members / relatives / entities in the conduct of Institute affairs / public affairs such as Administrative / Admissions / Professional works / Academic and Research activity etc.
- refrain from participating in any official capacity on professional matters of family members / relatives / organizations, etc.

Signature

Place:

Name of Individual / Representative of the entity:

Date:

Designation: